

Survey on Transnational Crime and Money Laundering

Respondents are asked to provide information in respect of a specific country. If you have knowledge of several countries, you can complete the form for each of those countries. All currency amounts should be expressed in \$US. Up-to-the-minute exchange rates are available from "<http://www.oanda.com/convert/classic>". Estimates should be "most recent available", and should not be more than five years old, unless there is good reason to believe that they are still current.

Part A – Respondent Information

1. Your name:.....
2. Your area of expertise: Transnational crime generally; Crime generally; Drugs crime; Financial crime; Law and justice generally; Economics generally; Statistics generally; Political science generally; International Trade generally; Other. If "Other", please specify:.....
3. Your occupation: Police/Law enforcement; Financial Intelligence; Government/Administration; Academic Researcher; Consultancy; Other. If "Other", please specify:.....
4. Your contact email address:.....
5. Country for which you are providing data:

Part B – The Proceeds of Crime in this Country (POC)

6. In your professional opinion, please indicate the degree of significance in generating illegal proceeds, your best estimate of the total annual proceeds from each type of crime, and the proportion of POC that is likely to be laundered, for each of the following crimes or types of crime committed in this country:

| Offence Type | Significance (Unknown; Insignificant; Moderate; Significant; Don't Know) | Estimated POC (Unknown; Negligible; \$US Thousands; \$US Tens of Thousands; \$US Hundreds of Thousands; \$US Millions; \$US Tens of Millions; \$US Hundreds of Millions; \$US Billions; \$US Tens of Billions) | Estimated % Laundered (Negligible; Unknown; Less than 10%; 10<20%; 20<30%; 30<40%; 40<50%; 50<60%; 60<70%; 70<80%; 80<90%; 90-100%) |
|----------------------------------|--------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| Drug Trafficking | | | |
| Drug Manufacture | | | |
| Computer Crime | | | |
| Intellectual Property | | | |
| Counterfeiting/Forgery | | | |
| Tax/revenue evasion | | | |
| Major fraud/Embezzlement | | | |
| Insurance Fraud | | | |
| Motor Vehicle Theft | | | |
| Theft of Antiques/Artefacts | | | |
| Trafficking of Persons | | | |
| Illegal Immigration | | | |
| Illegal Arms Trading/Trafficking | | | |
| Illegal Prostitution | | | |
| Illegal Gambling | | | |
| Environmental crimes | | | |
| Other 1 (please specify):..... | | | |
| Other 2 (please specify):..... | | | |
| Other 3 (please specify):..... | | | |

7. If the crimes involve bringing goods or people illegally into this country, or supplying services illegally to this country, please indicate the (up to two) principal countries of origin. The country of origin is where the transactions take place, not necessarily where the goods etc originate. Example: suppose most heroin brought illegally into Australia is produced in Afghanistan but imported by traffickers based in Hong Kong - the Main Country of Origin is Hong Kong, not Afghanistan.

| Offence Type | Main Country of Origin | 2nd Country |
|----------------------------------|-------------------------------|--------------------|
| Drug Trafficking | | |
| Drug Manufacture | | |
| Computer Crime | | |
| Intellectual Property | | |
| Counterfeiting/Forgery | | |
| Tax/revenue evasion | | |
| Major fraud/Embezzlement | | |
| Insurance Fraud | | |
| Motor Vehicle Theft | | |
| Theft of Antiques/Artefacts | | |
| Trafficking of Persons | | |
| Illegal Immigration | | |
| Illegal Arms Trading/Trafficking | | |
| Illegal Prostitution | | |
| Illegal Gambling | | |
| Environmental crimes | | |
| Other 1 (please specify):..... | | |
| Other 2 (please specify):..... | | |
| Other 3 (please specify):..... | | |

8. If the crimes involve sending goods or people illegally to other countries, or supplying services illegally to other countries, please indicate the principal destination countries. Example: suppose most archaeological artifacts illegally exported from Egypt for sale in the U.K. are purchased by traffickers based in Germany - the Main Destination Country is Germany, not the U.K.

| Offence Type | Main Destination | 2nd Destination |
|----------------------------------|-------------------------|------------------------|
| Drug Trafficking | | |
| Drug Manufacture | | |
| Computer Crime | | |
| Intellectual Property | | |
| Counterfeiting/Forgery | | |
| Tax/revenue evasion | | |
| Major fraud/Embezzlement | | |
| Insurance Fraud | | |
| Motor Vehicle Theft | | |
| Theft of Antiques/Artefacts | | |
| Trafficking of Persons | | |
| Illegal Immigration | | |
| Illegal Arms Trading/Trafficking | | |
| Illegal Prostitution | | |
| Illegal Gambling | | |
| Environmental crimes | | |
| Other 1 (please specify):..... | | |
| Other 2 (please specify):..... | | |
| Other 3 (please specify):..... | | |

9. In your professional opinion, please estimate the percentages of the total POC expended in the following categories:

| | Total POC expended % |
|-----------------------------------------------|-----------------------------|
| Further crime activities | % |
| Real Estate Investment | % |
| Legitimate Business | % |
| Luxury Goods | % |
| Gambling | % |
| Other Lifestyle | % |
| Professional Services (eg legal, financial..) | % |
| Other corruptive influence | % |
| Other 1 (please specify.....) | % |
| Other 2 (please specify.....) | % |
| Other 3 (please specify.....) | % |
| TOTAL | 100.00% |

10. To the best of your knowledge, please indicate the annual order of magnitude of POC restrained/forfeited by authorities in this country. Unknown; Negligible; \$US Thousands; \$US Tens of Thousands; \$US Hundreds of Thousands; \$US Millions; \$US Tens of Millions; \$US Hundreds of Millions; \$US Billions; \$US Tens of Billions;

11. What is the approximate “street value” of the following items seized in this country? Unknown; Negligible; \$US Thousands; \$US Tens of Thousands; \$US Hundreds of Thousands; \$US Millions; \$US Tens of Millions; \$US Hundreds of Millions; \$US Billions; \$US Tens of Billions

| | |
|--------------------------------|------|
| Illicit drugs | \$US |
| Weapons | \$US |
| Cash/Financial Instruments | \$US |
| Other 1 (please specify):..... | \$US |
| Other 2 (please specify):..... | \$US |

12. To the best of your knowledge, what institutions or mechanisms are used for laundering illicit proceeds in this country?

| | To send POC to this country Rarely, Occasionally, Frequently | To get POC out of this country Rarely, Occasionally, Frequently | Within this country Rarely, Occasionally, Frequently |
|--------------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------|
| Banks | | | |
| Shell corporations | | | |
| Non-bank financial institutions | | | |
| Money Exchange | | | |
| Remittance services | | | |
| Retail shops (eg. jewellers, supermarkets) | | | |
| Informal banking (Hawala etc) | | | |
| Insurance companies | | | |
| Gold and precious metals market | | | |
| Casinos and gambling houses | | | |
| Non-for-profit sector | | | |
| Antiques dealings | | | |
| Real estate | | | |
| Other 1 namely | | | |
| Other 2 namely | | | |

13. To the best of your knowledge, what methods are used for laundering illicit proceeds in this country?

| | To send POC to this country Rarely, Occasionally, Frequently | To get POC out of this country Rarely, Occasionally, Frequently | Within this country Rarely, Occasionally, Frequently |
|-----------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------|
| Cash smuggling | | | |
| Structuring | | | |
| Commingling illicit with licit proceeds | | | |
| Loan back | | | |
| Trusts | | | |
| Anonymous accounts | | | |
| Accounts in false names | | | |
| Other 1 namely | | | |
| Other 2 namely | | | |

14. To the best of your knowledge, what instruments are used for the laundering of illicit proceeds?

| | To send POC to this country Rarely, Occasionally, Frequently | To get POC out of this country Rarely, Occasionally, Frequently | Within this country Rarely, Occasionally, Frequently |
|---------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------------|
| Cash | | | |
| Wire transfers | | | |
| Cheques and other instruments | | | |
| Gold and precious metals/stones | | | |
| Electronic payments | | | |
| Credit cards | | | |
| Payable through accounts | | | |
| Stored Value Cards | | | |
| Other 1 namely | | | |
| Other 2 namely | | | |

15. In your professional opinion, please list the five most important countries in terms of money laundering relevant to this country:

15. 1 Top five countries to which the POC generated in this country are sent for laundering:

Country 1.....Country 2.....Country 3.....Country 4.....Country 5.....

15. 2 Top five countries through which laundered money transits to a final destination:

Country 1.....Country 2.....Country 3.....Country 4.....Country 5.....

15. 3 Top five destination countries:

Country 1.....Country 2.....Country 3.....Country 4.....Country 5.....

Part D – This Country’s Response to Money Laundering

16. Is money laundering a specific offence in this country? Yes/No

17. Does prosecution of money laundering require a separate predicate offence? Yes/No

18. What are the predicate offences for money laundering? All Offences/All Serious Offences/Specified Offences

If "Specified Offences", please list major categories:.....

19. Which of the following changes in international jurisdictions have impacted on the current response to money laundering in this country? (please select all applicable):

- | | |
|-----------------------------------------------------------|--------|
| International Treaties | Yes/No |
| US Patriot Act | Yes/No |
| Introduction of Euro Currency | Yes/No |
| FATF Eight Special Recommendations on Terrorist Financing | Yes/No |
| FATF Forty Recommendations | Yes/No |
| International Agreements | Yes/No |
| Other 1 (please specify):..... | |
| Other 2 (please specify):..... | |
| | |

20. Is the alternative remittance sector for the transmission of money or value significant in this country? Yes/No

21. Is the alternative remittance sector regulated in this country? Yes/No

22. In your opinion, which sectors or professions are most associated with money laundering in this country?

- | | |
|----------------------|--------------------------------|
| Banking | Rarely/Occasionally/Frequently |
| Legal | Rarely/Occasionally/Frequently |
| Accounting | Rarely/Occasionally/Frequently |
| Casinos | Rarely/Occasionally/Frequently |
| Real Estate | Rarely/Occasionally/Frequently |
| Other Gambling | Rarely/Occasionally/Frequently |
| Equity Market | Rarely/Occasionally/Frequently |
| Other 1 namely | Rarely/Occasionally/Frequently |
| Other 2 namely | Rarely/Occasionally/Frequently |

Part E – Financing of Terrorism

23. In your professional opinion, please indicate on the scale below, the order of magnitude of Terrorist financing in this country for each of the following categories of fundraising:

23.1 Funds raised in the country for terrorist use in the same country:

Unknown/Negligible/\$US Thousands/\$US Tens of Thousands/\$US Hundreds of Thousands/\$US Millions/\$US Tens of Millions/\$US Hundreds of Millions/\$US Billions/\$US Tens of Billions

23.2 Funds raised in the country for use outside the country:

Unknown/Negligible/\$US Thousands/\$US Tens of Thousands/\$US Hundreds of Thousands/\$US Millions/\$US Tens of Millions/\$US Hundreds of Millions/\$US Billions/\$US Tens of Billions

23.3 Funds raised outside the country for use in the country:

Unknown/Negligible/\$US Thousands/\$US Tens of Thousands/\$US Hundreds of Thousands/\$US Millions/\$US Tens of Millions/\$US Hundreds of Millions/\$US Billions/\$US Tens of Billions

24. To the best of your knowledge, what institutions/mechanisms have been or are most likely to be used or associated with terrorist financing?

| | To send POC to this country Rarely, Occasionally, Frequently | To get POC out of this country Rarely, Occasionally, Frequently | Within this country Rarely, Occasionally, Frequently |
|-------------------------------------------|---------------------------------------------------------------------------|------------------------------------------------------------------------------|-------------------------------------------------------------------|
| Banks | | | |
| Shell corporations | | | |
| Non-bank financial institutions | | | |
| Money Exchange | | | |
| Remittance services | | | |
| Retail shops (eg.jewellers, supermarkets) | | | |
| Underground banking | | | |
| Insurance companies | | | |
| Gold and precious metals market | | | |
| Casinos and gambling houses | | | |
| Non-for-profit sector | | | |
| Antiques dealings | | | |
| Real estate | | | |
| Other, namely..... | | | |

25. In what order would you rank the following sources of funds for terrorism in this country (please number)?

Crime? Donations? Legitimate business? Other (please specify).....

Part F – Other Comments

26. In your professional opinion, what do you consider to be the main emerging area of money laundering affecting this country?

Please enter comments.....

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